

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	No	
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.dunkirktoday.com/city-offices/economic-development
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.dunkirktoday.com/city-offices/economic-development
8. Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.dunkirktoday.com/city-offices/economic-development

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.dunkirktoday.com/city-offices/economic-development
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.dunkirktoday.com/city-offices/economic-development/meeting-information
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Banach, Rosemary	Name	Muscato, James
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2011	Term Start Date	01/01/2012
Term Expiration Date	01/01/2012	Term Expiration Date	01/01/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Presutti, Judy	Name	Beccera, Joseph
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2012
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2013
Title	Director Dunkirk Housing Authority	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Neratko, Steve	Name	Rodriguez, Jamie
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	By Virtue of Position	If yes, Chair designated By.	
Term Start Date	01/01/2012	Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2012
Title	Director of Planning and Development	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Halas, Richard	Name	Lockett, Judy
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2012	Term Start Date	01/01/2012
Term Expiration Date	01/01/2013	Term Expiration Date	01/01/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Gonzalez, Andy
Chair of Board		Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date		Term Start Date	01/01/2012
Term Expiration Date		Term Expiration Date	Ex-Officio
Title		Title	Councilman
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio		Ex-officio	Yes
Nominated By	Local	Nominated By	Ex-Officio
Appointed By	Local	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Dye, Magdalena	Name	Kiyak, Stephanie
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	11/05/2012	Term Start Date	01/01/2012
Term Expiration Date	02/20/2014	Term Expiration Date	Ex-Officio
Title		Title	Councilwoman
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Local	Nominated By	Ex-Officio
Appointed By	Local	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
waite, nicole	administrative assistant	Administrative and Clerical				PT	No	10,000.00	10,000	0	0	0	0	10,000	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Muscato, James	Board of Directors												X	
Beccera, Joseph	Board of Directors												X	
Lockett, Judy	Board of Directors												X	
Banach, Rosemary	Board of Directors												X	
Vacant	Board of Directors												X	
Neratko, Steve	Board of Directors												X	
Dye, Magdalena	Board of Directors												X	
Rodriguez, Jamie	Board of Directors												X	
Kiyak, Stephanie	Board of Directors												X	
Halas, Richard	Board of Directors												X	
Gonzalez, Andy	Board of Directors												X	
Presutti, Judy	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut Yes

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Washington Avenue Revitalization Company	10/01/2009	To purchase vacant commercial property on Washington Avenue for redevelopment.
DLDC Real Property LLC	05/26/2010	To purchase commercial property within the City of Dunkirk for redevelopment.

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$5,359
Investments	\$0
Receivables, net	\$43,273
Other assets	\$2,436
Total Current Assets	\$51,068
Noncurrent Assets	
Restricted cash and investments	\$5,680
Long-term receivables, net	\$267,403
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$677,313
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$677,313
Total Noncurrent Assets	\$950,396
Total Assets	\$1,001,464

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$0
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$5,247
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$34,259
Total Current Liabilities	\$39,506

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$175,617
Total Noncurrent Liabilities	\$175,617

Total Liabilities

\$215,123

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$64,242
Unrestricted	\$722,099
Total Net Assets	\$786,341

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$8,971
Other operating revenues	\$48,018
Total Operating Revenue	\$56,989

Operating Expenses

Salaries and wages	\$10,000
Other employee benefits	\$0
Professional services contracts	\$2,963
Supplies and materials	\$13,105
Depreciation & amortization	\$0
Other operating expenses	\$107,415
Total Operating Expenses	\$133,483

Operating Income (Loss) **(\$76,494)**

Nonoperating Revenues

Investment earnings	\$6,131
State subsidies/grants	\$0
Federal subsidies/grants	\$68,922
Municipal subsidies/grants	\$30,000
Public authority subsidies	\$0
Other nonoperating revenues	\$3,558
Total Nonoperating Revenue	\$108,611

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$19,259
Subsidies to other public authorities	\$0
Grants and donations	\$139,622
Other nonoperating expenses	\$275,039
Total Nonoperating Expenses	\$433,920
Income (Loss) Before Contributions	(\$401,803)
Capital Contributions	\$0
Change in net assets	(\$401,803)
Net assets (deficit) beginning of year	\$1,188,144
Other net assets changes	\$0
Net assets (deficit) at end of year	\$786,341

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded	0.00	225,000.00	0.00	50,000.00	175,000.00
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.dunkirktoday.com/city-offices/economic-development
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.dunkirktoday.com/city-offices/economic-development
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Federal
Name of Loan Recipient: Chautauqua Woods
Address Line1: 134 Franklin Avenue
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$20,000
Date Loan Awarded: 02/17/2009
Interest Rate(%): 5.5
Length of Loan(# of years
to repay): 4
Amount of Loan Principal Repaid
to Date: \$9,632.31
Purpose of Loan: Marketing and Business Recruitment

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

2. Source of Loan Funds: Federal
Name of Loan Recipient: Demitri's on the Lake
Address Line1: 6-8 Lake Shore Drive West
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$25,000
Date Loan Awarded: 12/28/2011
Interest Rate(%): 4.5
Length of Loan(# of years
to repay): 5
Amount of Loan Principal Repaid
to Date: \$4,173.30
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Loan Information

3. Source of Loan Funds: Federal
Name of Loan Recipient: Demitri's on the Lake
Address Line1: 6-8 Lake Shore Drive West
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$125,164.34
Date Loan Awarded: 06/06/2005
Interest Rate(%): 4.5
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$87,267.64
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

4. Source of Loan Funds: Federal
Name of Loan Recipient: Dunkirk Metal Products
Address Line1: 795 Deer Street
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$110,000
Date Loan Awarded: 01/30/2009
Interest Rate(%): 4.5
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$11,927.00
Purpose of Loan: Business Expansion/Startup
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Loan Information

5. Source of Loan Funds: Federal
 Name of Loan Recipient: Enviroteknix
 Address Line1: 302 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$62,012.8
 Date Loan Awarded: 06/30/2007
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$2,270.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Federal
 Name of Loan Recipient: G&E Tents
 Address Line1: 407 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$30,000
 Date Loan Awarded: 03/01/2009
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$20,176.31
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Federal
 Name of Loan Recipient: Henlie, Inc
 Address Line1: 227 Central Avenue
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 12/01/2011
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Federal
 Name of Loan Recipient: Lakeshore Grillworks
 Address Line1: 436 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 06/01/2009
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$10,188.98
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Federal
 Name of Loan Recipient: Lightning Circuits
 Address Line1: 855 Main Street
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 08/05/2005
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$22,938.56
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 5
 Have the terms of the loan been
 completed? No

10. Source of Loan Funds: Federal
 Name of Loan Recipient: Local Yokels
 Address Line1: 405 Central Avenue
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$10,000
 Date Loan Awarded: 08/01/2009
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 4
 Amount of Loan Principal Repaid
 to Date: \$9,234.13
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

11. Source of Loan Funds: Federal
 Name of Loan Recipient: Paradise Fence and Flag
 Address Line1: 220 Washington Avenue
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$31,000
 Date Loan Awarded: 07/01/2001
 Interest Rate(%): 6.2
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$16,996.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Federal
 Name of Loan Recipient: Peyin's Deli
 Address Line1: 75 E 5th Street
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$10,000
 Date Loan Awarded: 02/01/2009
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 4
 Amount of Loan Principal Repaid
 to Date: \$3,645.88
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Federal
 Name of Loan Recipient: Rem-Tronics
 Address Line1: 659 Brigham Road
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 05/18/2010
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 5
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Federal
 Name of Loan Recipient: S&K Hospitality, LLC
 Address Line1: 30 Lake Shore Drive
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$175,000
 Date Loan Awarded: 05/01/2010
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 10
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

Loan Information

15. Source of Loan Funds: Federal
 Name of Loan Recipient: Selling Hive
 Address Line1: 214 Central Avenue
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$30,000
 Date Loan Awarded: 11/01/2011
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

16. Source of Loan Funds: Federal
 Name of Loan Recipient: Sound Chaser Systems
 Address Line1: 17 W Courtney Street
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 07/11/2001
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$36,434.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

17. Source of Loan Funds: Federal
 Name of Loan Recipient: Textivia
 Address Line1: 229 Central Avenue
 Address Line2: Suite 209
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$35,000
 Date Loan Awarded: 09/01/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
Have the terms of the loan been
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: