

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.dunkirktoday.com/city-offices/economic-development/meeting-information">http://www.dunkirktoday.com/city-offices/economic-development/meeting-information</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Kemp , Linda	Name	Ahlstrom, Kory R
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	By Virtue of Position
Term Start Date	01/01/2010	Term Start Date	08/01/2008
Term Expiration Date	01/01/2012	Term Expiration Date	Ex-Officio
Title		Title	Director of Planning and Development
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Rodriguez, Jamie	Name	Banach, Rosemary
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2011
Term Expiration Date	01/01/2012	Term Expiration Date	01/01/2012
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Muldowney , Kevin	Name	Heenan, Aisling
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2011
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2012
Title	Councilman	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Dobek, Amy	Name	Presutti, Judy
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2010
Term Expiration Date	01/01/2012	Term Expiration Date	Ex-Officio
Title		Title	Director Dunkirk Housing Authority
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Local	Nominated By	Ex-Officio
Appointed By	Local	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Bradley , Truman
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	01/01/2011
Term Expiration Date	01/01/2012
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
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This authority has indicated that it has no staff during the reporting period.



**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Presutti, Judy	Board of Directors												X	
Muldowney, Kevin	Board of Directors												X	
Heenan, Aisling	Board of Directors												X	
Kemp, Linda	Board of Directors												X	
Banach, Rosemary	Board of Directors												X	
Dobek, Amy	Board of Directors												X	
Ahlstrom, Kory R	Board of Directors												X	
Bradley, Truman	Board of Directors												X	
Rodriguez, Jamie	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut Yes

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Washington Ave Revitalization Company, LLC	10/01/2009	Real Estate Holding Company
DLDC Real Property, LLC	01/01/2010	Real Estate Holding Company

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$3,429
Investments	\$0
Receivables, net	\$50,495
Other assets	\$0
<b>Total Current Assets</b>	<b>\$53,924</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$500
Long-term receivables, net	\$607,936
Other assets	\$31,613
<b>Capital Assets</b>	
Land and other nondepreciable property	\$741,915
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$741,915
<b>Total Noncurrent Assets</b>	<b>\$1,381,964</b>
<b>Total Assets</b>	<b>\$1,435,888</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$47,600
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$8,938
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$50,000
<b>Total Current Liabilities</b>	<b>\$106,538</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$175,000
<b>Total Noncurrent Liabilities</b>	<b>\$175,000</b>

**Total Liabilities**

**\$281,538**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$500
Unrestricted	\$1,153,850
<b>Total Net Assets</b>	<b>\$1,154,350</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$1,261
Rental & financing income	\$11,904
Other operating revenues	\$0
<b>Total Operating Revenue</b>	<b>\$13,165</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$8,250
Supplies and materials	\$7,064
Depreciation & amortization	\$0
Other operating expenses	\$60,291
<b>Total Operating Expenses</b>	<b>\$75,605</b>

Operating Income (Loss) **(\$62,440)**

Nonoperating Revenues

Investment earnings	\$21,720
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$186,371
Public authority subsidies	\$0
Other nonoperating revenues	\$51,048
<b>Total Nonoperating Revenue</b>	<b>\$259,139</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$4,469
Subsidies to other public authorities	\$0
Grants and donations	\$47,663
Other nonoperating expenses	\$79,246
<b>Total Nonoperating Expenses</b>	<b>\$131,378</b>
<b>Income (Loss) Before Contributions</b>	<b>\$65,321</b>
Capital Contributions	\$0
Change in net assets	\$65,321
Net assets (deficit) beginning of year	\$1,089,029
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,154,350

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					



Real Property Acquisition/Disposal List

1. Address Line1: 760 Lamphere Street  
Address Line2:  
City: DUNKIRK  
State: NY  
Postal Code: 14048  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Vacant Lot/Undeveloped Land  
Estimated Fair Market Value: \$19,900  
How was the Fair Market Other  
Value Determined?:  
Transaction Type: ACQUISITION  
If Other, Explain:

Transaction Date: 03/10/2011  
Purchase Sale Price: \$0.00

Lease Data (If applicable)

Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: County of Chautauqua  
Last Name:  
First Name:

Address Line1: 3 N Erie Street

Address Line2:

City: MAYVILLE

State: NY

Postal Code: 14757

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development/property-information">http://www.dunkirktoday.com/city-offices/economic-development/property-information</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.dunkirktoday.com/city-offices/economic-development">http://www.dunkirktoday.com/city-offices/economic-development</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

1. Source of Grant Funds: Federal  
Name of Grant Recipient: SUNY Fredonia Business Technology Incubator  
Address Line1: 214 Central Avenue  
Address Line2:  
City: DUNKIRK  
State: NY  
Zip - Plus4: 14048  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$6,000  
Date Grant Awarded: 12/31/2011  
Purpose of Grant: Commercial Property  
Construction/Acquisition/Revitalization/Im  
provement  
Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

**Loan Information**

1. Source of Loan Funds: Federal  
 Name of Loan Recipient: Chautauqua Woods  
 Address Line1: 134 Franklin Avenue  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$20,000  
 Date Loan Awarded: 02/17/2009  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 4  
 Amount of Loan Principal Repaid  
 to Date: \$6,185.00  
 Purpose of Loan: Marketing and Business Recruitment

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: Federal  
 Name of Loan Recipient: Demitri's on the Lake  
 Address Line1: 6-8 Lake Shore Drive West  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 12/28/2011  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$2,200.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

3. Source of Loan Funds: Federal  
 Name of Loan Recipient: Demitri's on the Lake  
 Address Line1: 6-8 Lake Shore Drive West  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,164.34  
 Date Loan Awarded: 06/06/2005  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$75,098.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

4. Source of Loan Funds: Federal  
 Name of Loan Recipient: Dunkirk Metal Products  
 Address Line1: 795 Deer Street  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$110,000  
 Date Loan Awarded: 01/30/2009  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$11,927.00  
 Purpose of Loan: Business Expansion/Startup  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

5. Source of Loan Funds: Federal  
 Name of Loan Recipient: Enviroteknix  
 Address Line1: 302 Lake Shore Drive East  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$62,012.8  
 Date Loan Awarded: 06/30/2007  
 Interest Rate(%): 6.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$22,770.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

6. Source of Loan Funds: Federal  
 Name of Loan Recipient: G&E Tents  
 Address Line1: 407 Lake Shore Drive East  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$30,000  
 Date Loan Awarded: 03/01/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$12,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

7. Source of Loan Funds: Federal  
 Name of Loan Recipient: Henlie, Inc  
 Address Line1: 227 Central Avenue  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$15,000  
 Date Loan Awarded: 12/01/2011  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: Federal  
 Name of Loan Recipient: Lakeshore Grillworks  
 Address Line1: 436 Lake Shore Drive East  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$15,000  
 Date Loan Awarded: 06/01/2009  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$6,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No



Loan Information

9. Source of Loan Funds: Federal  
 Name of Loan Recipient: Lightening Circuits  
 Address Line1: 855 Main Street  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 08/05/2005  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Prinicipal Repaid  
 to Date: \$30,000.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Federal  
 Name of Loan Recipient: Local Yokels  
 Address Line1: 405 Central Avenue  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$10,000  
 Date Loan Awarded: 08/01/2009  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 4  
 Amount of Loan Prinicipal Repaid  
 to Date: \$5,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

11. Source of Loan Funds: Federal  
 Name of Loan Recipient: Paradise Fence and Flag  
 Address Line1: 220 Washington Avenue  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$31,000  
 Date Loan Awarded: 07/01/2001  
 Interest Rate(%): 6.2  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$16,996.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Federal  
 Name of Loan Recipient: Peyin's Deli  
 Address Line1: 75 E 5th Street  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$10,000  
 Date Loan Awarded: 02/01/2009  
 Interest Rate(%): 6.5  
 Length of Loan(# of years  
 to repay): 4  
 Amount of Loan Principal Repaid  
 to Date: \$3,666.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

13. Source of Loan Funds: Federal  
 Name of Loan Recipient: Rem-Tronics  
 Address Line1: 659 Brigham Road  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 05/18/2010  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Federal  
 Name of Loan Recipient: S&K Hospitality, LLC  
 Address Line1: 30 Lake Shore Drive  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$175,000  
 Date Loan Awarded: 05/01/2010  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 10  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

**Loan Information**

15. Source of Loan Funds: Federal  
 Name of Loan Recipient: Selling Hive  
 Address Line1: 214 Central Avenue  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$30,000  
 Date Loan Awarded: 11/01/2011  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

16. Source of Loan Funds: Federal  
 Name of Loan Recipient: Sound Chaser Systems  
 Address Line1: 17 W Courtney Street  
 Address Line2:  
 City: DUNKIRK  
 State: NY  
 Zip - Plus4: 14048  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 07/11/2001  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$36,434.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

17. Source of Loan Funds: Federal  
Name of Loan Recipient: Textivia  
Address Line1: 229 Central Avenue  
Address Line2: Suite 209  
City: DUNKIRK  
State: NY  
Zip - Plus4: 14048  
Province/Region:  
Country: USA  
Original Amount of Loan: \$35,000  
Date Loan Awarded: 09/01/2011  
Interest Rate(%): 4  
Length of Loan(# of years  
to repay): 3  
Amount of Loan Principal Repaid  
to Date: \$0.00  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 1  
If yes, how many jobs have been  
created to date? 0  
Have the terms of the loan been  
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: