

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.dunkirkldc.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.dunkirktoday.com/city-offices/economic-development

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.dunkirktoday.com/city-offices/economic-development
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.dunkirktoday.com/city-offices/economic-development/meeting-information
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Bradley , Truman	Name	Ahlstrom, Kory R
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	By Virtue of Position
Term Start Date	01/01/2010	Term Start Date	08/01/2008
Term Expiration Date	01/01/2011	Term Expiration Date	Ex-Officio
Title		Title	Director of Planning and Development
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Presutti, judy	Name	Kemp , Linda
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2012
Title	Director Dunkirk Housing Authority	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Muldowney , Kevin	Name	Dobek, Amy
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2012
Title	Councilman	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Rodriguez, Jamie	Name	Banach, Rosemary
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2010	Term Start Date	01/01/2010
Term Expiration Date	01/01/2012	Term Expiration Date	01/01/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Heenan, Aisling
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	01/01/2010
Term Expiration Date	01/01/2011
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
This authority has indicated that it has no staff during the reporting period.																

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Presutti, Judy	Board of Directors												X	
Muldowney, Kevin	Board of Directors												X	
Heenan, Aisling	Board of Directors												X	
Kemp, Linda	Board of Directors												X	
Banach, Rosemary	Board of Directors												X	
Dobek, Amy	Board of Directors												X	
Ahlstrom, Kory R	Board of Directors												X	
Bradley, Truman	Board of Directors												X	
Rodriguez, Jamie	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut Yes

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Washington Ave Revitalization Company, LLC	10/01/2009	Real Estate Holding Company
DLDC Real Prpoerty LLC	01/01/2010	Real Estate Holding Company

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$10,399
Investments	\$0
Receivables, net	\$86,328
Other assets	\$170
Total Current Assets	\$96,897
Noncurrent Assets	
Restricted cash and investments	\$703,415
Long-term receivables, net	\$584,173
Other assets	\$31,613
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$1,319,201
Total Assets	\$1,416,098

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$47,600
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$4,469
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$50,000
Total Current Liabilities	\$102,069

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$225,000
Total Noncurrent Liabilities	\$225,000

Total Liabilities

\$327,069

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$170
Unrestricted	\$1,088,859
Total Net Assets	\$1,089,029

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$845
Rental & financing income	\$11,785
Other operating revenues	\$0
Total Operating Revenue	\$12,630

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$39,617
Supplies and materials	\$40,631
Depreciation & amortization	\$0
Other operating expenses	\$63,807
Total Operating Expenses	\$144,055

Operating Income (Loss) **(\$131,425)**

Nonoperating Revenues

Investment earnings	\$25,355
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$458,835
Public authority subsidies	\$0
Other nonoperating revenues	\$123,819
Total Nonoperating Revenue	\$608,009

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$4,641
Subsidies to other public authorities	\$0
Grants and donations	\$8,687
Other nonoperating expenses	\$76,235
Total Nonoperating Expenses	\$89,563
Income (Loss) Before Contributions	\$387,021
Capital Contributions	\$0
Change in net assets	\$387,021
Net assets (deficit) beginning of year	\$702,008
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,089,029

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

1. Address Line1: 18 Lake Shore Drive West

Address Line2:

City: DUNKIRK

State: NY

Postal Code: 14048

Plus4:

Province/Region:

Country: USA

Property Description: Commercial Building

Estimated Fair Market Value: \$525,000

How was the Fair Market Other

Value Determined?:

Transaction Type: ACQUISITION

If Other, Explain:

Transaction Date: 06/30/2010

Purchase Sale Price: \$525,000.00

Lease Data (If applicable)

Market Rate(\$/square foot):

Lease Rate(\$/square foot):

Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: Bertges Family Limited Partnership

Last Name:

First Name:

Address Line1: 10470 Shore Acres

Address Line2:

City: DUNKIRK

State: NY

Postal Code: 14048

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

2. Address Line1: 307-315 Brigham Road

Address Line2:

City: DUNKIRK

State: NY

Postal Code: 14048

Plus4:

Province/Region:

Country: USA

Property Description: Vacant Lot/Undeveloped Land

Estimated Fair Market Value: \$18,000

How was the Fair Market Other

Value Determined?:

Transaction Type: ACQUISITION

If Other, Explain:

Transaction Date: 11/05/2010

Purchase Sale Price: \$1.00

Lease Data (If applicable)

Market Rate(\$/square foot):

Lease Rate(\$/square foot):

Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: County of Chautauqua

Last Name:

First Name:

Address Line1: 3 N Erie Street

Address Line2:

City: MAYVILLE

State: NY

Postal Code: 14757

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.dunkirktoday.com/city-offices/economic-development/property-information
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.dunkirktoday.com/city-offices/economic-development
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Federal
 Name of Loan Recipient: Chautauqua Woods
 Address Line1: 134 Franklin Ave
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 02/17/2009
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 4
 Amount of Loan Principal Repaid
 to Date: \$6,185.00
 Purpose of Loan: Marketing and Business Recruitment

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

2. Source of Loan Funds: Federal
 Name of Loan Recipient: Demitri's on the Lake
 Address Line1: 6-8 Lake Shore Drive West
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,164.34
 Date Loan Awarded: 06/06/2005
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$62,582.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

3. Source of Loan Funds: Federal
Name of Loan Recipient: Dunkirk Metal Products
Address Line1: 795 Deer St
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$110,000
Date Loan Awarded: 01/30/2009
Interest Rate(%): 4.5
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$11,927.00
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

4. Source of Loan Funds: Federal
Name of Loan Recipient: Enviroteknix
Address Line1: 302 Lkae Shore Dr E
Address Line2:
City: DUNKIRK
State: NY
Zip - Plus4: 14048
Province/Region:
Country: USA
Original Amount of Loan: \$62,012.8
Date Loan Awarded: 06/30/2007
Interest Rate(%): 6.5
Length of Loan(# of years
to repay): 10
Amount of Loan Principal Repaid
to Date: \$22,770.00
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Loan Information

5. Source of Loan Funds: Federal
 Name of Loan Recipient: G&E Tents
 Address Line1: 407 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$30,000
 Date Loan Awarded: 03/01/2009
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$9,660.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Federal
 Name of Loan Recipient: Lakeshore Grillworks
 Address Line1: 436 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 06/01/2009
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$4,115.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Federal
 Name of Loan Recipient: Lightening Circuits
 Address Line1: 855 Main St
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 08/05/2005
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Prinicipal Repaid
 to Date: \$25,000.00
 Purpose of Loan: Business Expansion/Startup

 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Federal
 Name of Loan Recipient: Local Yokels
 Address Line1: 405 Central Ave
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$10,000
 Date Loan Awarded: 08/01/2009
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 4
 Amount of Loan Prinicipal Repaid
 to Date: \$3,942.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Federal
 Name of Loan Recipient: Old Sarge's Drop Zone
 Address Line1: 201 Lake Shore Drive East
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$25,500
 Date Loan Awarded: 04/09/2010
 Interest Rate(%): 5.5
 Length of Loan(# of years
 to repay): 2
 Amount of Loan Principal Repaid
 to Date: \$25,500.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 5
 Have the terms of the loan been
 completed? Yes

10. Source of Loan Funds: Federal
 Name of Loan Recipient: Paradis Fence
 Address Line1: 220 Washington Ave
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$31,000
 Date Loan Awarded: 07/01/2001
 Interest Rate(%): 6.2
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$16,996.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

<p>11. Source of Loan Funds: Federal Name of Loan Recipient: Peyin's Deli Address Line1: 75 E 5th Street Address Line2: City: DUNKIRK State: NY Zip - Plus4: 14048 Province/Region: Country: USA Original Amount of Loan: \$10,000 Date Loan Awarded: 02/01/2009 Interest Rate(%): 6.5 Length of Loan(# of years to repay): 4 Amount of Loan Principal Repaid to Date: \$3,666.00 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>	<p>12. Source of Loan Funds: Federal Name of Loan Recipient: Rem-Tronics Address Line1: 659 Brigham Rd Address Line2: City: DUNKIRK State: NY Zip - Plus4: 14048 Province/Region: Country: USA Original Amount of Loan: \$125,000 Date Loan Awarded: 05/18/2010 Interest Rate(%): 4.5 Length of Loan(# of years to repay): 3 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? Yes If yes, how many jobs were planned to be created? 5 If yes, how many jobs have been created to date? 0 Have the terms of the loan been completed? No</p>
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Loan Information

13. Source of Loan Funds: Municipal
 Name of Loan Recipient: S&K Hospitality LLC
 Address Line1: 30 Lake Shore Drive
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$175,000
 Date Loan Awarded: 05/01/2010
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 10
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Federal
 Name of Loan Recipient: Sound Chaser Systems
 Address Line1: 17 W Courtney St
 Address Line2:
 City: DUNKIRK
 State: NY
 Zip - Plus4: 14048
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 07/11/2001
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$36,434.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: